TRAVELING WAVE DISPERSAL IN PARTIALLY SEDENTARY AGE-STRUCTURED POPULATIONS

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Dedicated to Tran Duc Van on the occasion of his sixtieth birthday

ABSTRACT. In this paper we present a thorough study on the existence of traveling waves in a mathematical model of dispersal in a partially sedentary age-structured population. This type of model was first proposed by Veit and Lewis in [Am. Nat. 148 (1996), 255-274]. We choose the fecundity function to be the Beverton-Holt type function. We extend the theory of traveling waves in the population genetics model of Weinberger in [SIAM J. Math. Anal. 13 (1982), 353-396] to the case when migration depends on age groups and a fraction of the population does not migrate.

1. INTRODUCTION

In [24] a mathematical model for dispersal in a partially, sedentary age-structured population was developed to simulate the spatial spread of the house finch (*carpodacus mexicanus*). The house finch is native to the southern part of the United States and to Mexico. It spread quickly in the eastern part of the United States and Canada in the 1940s after the release of captive specimens in the New York City area. The model is of the form

$$N_{n+1}(x) = s(1-p_A)N_n(x) + (1-p_J)F(N_n(x)) + \int_{-\infty}^{\infty} K_A(|x-y|)sp_AN_n(y)dy$$

(1.1) $+ \int_{-\infty}^{\infty} K_J(|x-y|)p_JF(N_n(y))dy, \quad n = 1, 2, \cdots,$

where $N_n = J_n + A_n$ is the sum of the juvenile and adult bird densities in year n. It is assumed that juvenile birds born in one season mature by the next season. Adults survive from one season to the next with probability s. The number of surviving offspring born in year n is denoted by the fecundity function $F(N_n)$. Juveniles and adults may differ in their probability to disperse as well as in their

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dispersal behavior if they disperse. The fractions of dispersing juveniles and adults are denoted by p_J and p_A , respectively. The dispersal behavior is given by the probability density functions K_J, K_A , also called dispersal kernels.

In this paper, we will always assume that the fecundity function is a monotone increasing and bounded function, for example a function of Beverton-Holt type or, equivalently, of Holling type II. The Beverton-Holt function originated as a stock-recruitment function in fisheries and is now very common in classic discrete-time population models. By appropriate rescaling, we can always assume that F is of the form

(1.2)
$$F(u) := \frac{krMu}{M + (r-1)u},$$

with k + s = 1. All parameters are assumed positive. At low population density, the per capita number of offspring is kr, and the carrying capacity of the non-spatial model $N_{n+1} = sN_n + F(N_n)$ is M.

As noted in [25], although this model was used to simulate the migration of house finches in [24], no mathematical analysis was given yet. A first attempt to study models with partially sedentary populations was made in [25]. These authors studied the special case that dispersal probability and dispersal behavior are independent of age-structure. More precisely, under the assumptions $p_J = p_A$ and $K_J = K_A$, the above model falls within the framework considered in [25]. It is the purpose of this paper to give a thorough study of the asymptotic behavior of solutions of equations (1.1), (1.2) with $p_A \neq p_J$ and $K_J \neq K_A$. Our goal is to extend the fundamental theory for spreading speeds and traveling waves developed by Weinberger to this case. For the existence of traveling waves, the fundamental assumption on compactness of [26, Theorem 6.6] is not satisfied for equation (1.1), nor the weak compactness condition in [10]. We will use a different approach to prove the existence of traveling waves in this case. This idea is also employed in [12]. Our main result is Theorem 3.5 that complements some results in [25].

To simplify notation, we will assume that $K_J = K_A =: K$ from here on. We return to the general case in the discussion.

Notations and Assumptions. We denote by \mathbb{R} the real line. We also denote by $BM(\mathbb{R},\mathbb{R})$ ($BC(\mathbb{R},\mathbb{R})$, respectively) the space of all measurable and essentially bounded real valued functions on \mathbb{R} (the space of all bounded continuous real valued functions on \mathbb{R} , respectively) with essential sup-norm. For a constant α , we will denote the constant function $\mathbb{R} \ni x \mapsto \alpha$ by this number α for convenience if this does not cause any confusion. C_M stands for the set $\{f \in BC(\mathbb{R},\mathbb{R}) | f(x) \in [0, M]\}$, and $BM(\mathbb{R}, [0, M]) := \{f \in BM(\mathbb{R}, \mathbb{R}) | f(x) \in [0, M]\}$. The metric on C_M is defined by the sup norm. In $BM(\mathbb{R}, \mathbb{R})$, we use the natural order defined as $u \leq v$ if and only if $u(x) \leq v(x)$ for all $x \in \mathbb{R}$.

Unless otherwise stated, we assume that the parameters in the function F satisfy r > 1, M > 0. We also assume that K(|x|) is a probability density function

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defined on \mathbb{R} and satisfies

(1.3)
$$\int_{-\infty}^{\infty} e^{\mu x} K(|x|) dx < \infty, \text{ for all } \mu \in \mathbb{R}.$$

2. Spreading speed

Most of the results in this section are derived from the general theory on spreading speeds in [26]. For later use in the paper, we will discuss details of these results below. Let us define a dynamical system $u_{n+1} = Q[u_n]$ by setting

$$Q[u](x) = s(1-p_A)u(x) + (1-p_J)F(u(x)) + \int_{-\infty}^{\infty} K(|x-y|)sp_Au(y)dy$$

(2.1)
$$+ \int_{-\infty}^{\infty} K(|x-y|)p_JF(u(y))dy,$$

for each $u \in BM(\mathbb{R}, \mathbb{R})$.

Lemma 2.1. Under the above notations and assumptions, the operator Q is an operator acting in $BM(\mathbb{R},\mathbb{R})$ leaving $BC(\mathbb{R},\mathbb{R})$ invariant with the following properties

- i) Q[0] = 0, Q[M] = M, $Q[\alpha] > \alpha$ for all $0 < \alpha < M$;
- ii) If $u, v \in BM(\mathbb{R}, \mathbb{R})$ such that $u \ge v$, then $Q[u] \ge Q[v]$;
- iii) If $u_n \in BC(\mathbb{R}, \mathbb{R})$ such that u_n is convergent to u uniformly on each bounded subset of \mathbb{R} , then $Q[u_n](x)$ is convergent to Q[u](x) for each $x \in \mathbb{R}$;
- iv) If $\alpha > M$, then $Q[\alpha] < \alpha$;
- v) There is a constant $\bar{\gamma}$ such that $\gamma < Q[\gamma] < \bar{\gamma}$ for all $\gamma \in [0, \bar{\gamma})$, and $Q[\bar{\gamma}] = \bar{\gamma}$.

Proof. Before proving the properties of Q, we notice that from the definition of Q it maps $BM(\mathbb{R},\mathbb{R})$ into itself. Next, to show that it leaves $BC(\mathbb{R},\mathbb{R})$ invariant it is sufficient to prove that the integrals

(2.2)
$$\int_{-\infty}^{\infty} K(|x-y|)u(y)dy = -\int_{-\infty}^{\infty} K(|\xi|)u(x-\xi)d\xi,$$

(2.3)
$$\int_{-\infty}^{\infty} K(|x-y|)F(u(y))dy = -\int_{-\infty}^{\infty} K(|\xi|)F(u(x-\xi))d\xi$$

depend continuously on x. In turn, this continuity is a standard property of the convolution of a continuous function with an integrable function.

Now we prove the properties of Q:

(i): This property is clear because of the assumption s+k=1. Indeed, Q[0]=0, and

$$Q[M](x) = s(1-p_A)M + (1-p_J)\frac{krMM}{M + (r-1)M} + \int_{-\infty}^{\infty} K(|x-y|)sp_AMdy + \int_{-\infty}^{\infty} K(|x-y|)p_J\frac{krMM}{M + (r-1)M}dy = s(1-p_A)M + (1-p_J)kM + sp_AM + p_JkM = (s+k)M (2.4) = M.$$

Notice that $F(\alpha) > k\alpha$ for all $0 < \alpha < M$. Therefore, for all $0 < \alpha < M$,

$$Q[\alpha](x) > s(1-p_A)\alpha + (1-p_J)k\alpha + \int_{-\infty}^{\infty} K(|x-y|)sp_A\alpha dy$$
$$+ \int_{-\infty}^{\infty} K(|x-y|)p_Jk\alpha dy$$
$$= s(1-p_A)\alpha + (1-p_J)k\alpha + sp_A\alpha + p_Jk\alpha$$
$$= (s+k)\alpha$$
$$(2.5) = \alpha.$$

(ii): This property follows since the function F is increasing.

(iii): This property is clear from the definition of Q.

(iv): We have

(2.6)

$$F'(u) - k = \frac{kr(M + (r-1)u) - kru(r-1)}{(M + (r-1)u)^2} - k$$
$$= \frac{krM}{(M + (r-1)u)^2} - k.$$

Notice that F'(u) - k < 0 if u > M. Therefore, $F(\alpha) < k\alpha$ if $\alpha > M$. This yields that if $\alpha > M$, then

$$Q[\alpha](x) < s(1-p_A)\alpha + (1-p_J)k\alpha + \int_{-\infty}^{\infty} K(|x-y|)sp_A\alpha dy$$
$$+ \int_{-\infty}^{\infty} K(|x-y|)p_Jk\alpha dy$$
$$= \alpha.$$

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(v): Actually we can choose $\bar{\gamma} = M$. In fact, for each $\gamma \in (0, M)$ we have $F(\gamma) > k\gamma$. Therefore, by the above computation (2.5), $\gamma < Q(\gamma) < Q[M] = M$ for all $\gamma \in [0, M]$.

Next, we apply the general theory on spreading speeds in [26] to our dynamical system

(2.7)
$$u_{n+1} = Q[u_n], \quad n = 1, 2, \cdots.$$

Basically, according to the theory of [26], for our model the following procedure of defining the spreading speed is valid: let us choose a function $\varphi : \mathbb{R} \to \mathbb{R}$ such that

i)
$$\varphi$$
 is continuous and non-increasing,
ii) $\varphi(-\infty) := \lim_{t \to -\infty} \varphi(t) \in (0, M),$

1)
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iii) $\varphi(s) = 0$ for $s \ge 0$.

We then define an operator $R_c[\cdot]$ on the space C_M for every constant c as

(2.8)
$$R_c[u](s) := \max\{\varphi(s), Q[u(c+\cdot)](s)\}, \quad s \in \mathbb{R},$$

and a sequence of functions $\{a_n(c; \cdot)\}$ by

$$(2.9) a_{n+1} := R_c[a_n], \quad a_0 = \varphi.$$

As shown in [26] the sequence $\{a_n(c; \cdot)\}\$ is increasing and bounded, so for each $s \in \mathbb{R}$, we obtain the pointwise limit

(2.10)
$$\lim_{n \to \infty} a_n(c;s) = a(c;s), \quad s \in \mathbb{R}$$

Obviously, $0 \le a(c; s) \le M$ for all $s \in \mathbb{R}$. The following number is called *spreading speed* for our model

(2.11)
$$c^* := \sup\{c | a(c; +\infty) = M\}.$$

Applying the theory in [26, Lemma 5.2 and Proposition 5.1] to our model gives the following:

Lemma 2.2. i) For each $c \in \mathbb{R}$

$$(2.12) a(c; -\infty) = M;$$

ii) If $c \ge c^*$, then

$$(2.13) a(c; +\infty) = 0.$$

Weinberger [26] proved that if there exists a bounded non-negative measure m(x, dx) on \mathbb{R} such that

(2.14)
$$Q[u](x) \leq \int_{-\infty}^{\infty} u(x-y)m(y, dy), \quad u \in C_M,$$

then

(2.15)
$$c^* \le \inf_{\mu > 0} \frac{1}{\mu} \ln \int_{-\infty}^{\infty} e^{\mu x} m(x, dx).$$

And if there exists a bounded non-negative measure l(x, dx) with property that $\int_{-\infty}^{\infty} l(x, dx) > 1$ and $Q[u](x) \ge \int u(x-y)l(y, dy)$ for all u such that $0 \le u(x) \le \epsilon$, then

(2.16)
$$c^* \ge \inf_{\mu > 0} \frac{1}{\mu} \ln \int_{-\infty}^{\infty} e^{\mu x} l(x, dx).$$

Below we follow the argument of [25] to give an estimate of the spreading speed. We see that since for $u \in C_M F(u) \leq kru$, (2.17)

$$Q[u](x) \le [s(1-p_A) + (1-p_J)kr] u(x) + \int_{-\infty}^{\infty} K(|x-y|) (sp_A + p_Jkr) u(y) dy.$$

If we let

$$m(x, dx) = [s(1 - p_A) + (1 - p_J)kr] \delta_0 + K(|x|) (sp_A + p_Jkr),$$

where δ_0 is the Dirac delta measure, then (2.14) holds. On the other hand, for each $1 < r_1 < r$, there exists $\epsilon > 0$ such that $F(u) \ge kr_1 u$ for u such that $0 \le u(x) \le \epsilon$ for all $x \in \mathbb{R}$. Therefore, for such u(2.18)

$$Q[u](x) \ge [s(1-p_A) + (1-p_J)r_1]u(x) + \int_{-\infty}^{\infty} K(|x-y|) (sp_A + p_J r_1)u(y)dy.$$

We let

$$(x, dx) := [s(1-p_A) + (1-p_J)kr_1]\delta_0 + K(|x|)(sp_A + p_Jkr_1)$$

Then, $\int_{-\infty}^{\infty} l(x, dx) > 1$ and (2.16) holds. Next, since r_1 can be chosen arbitrarily between 1 and r, we have

(2.19)
$$c^* = \inf_{\mu>0} \frac{1}{\mu} \int_{-\infty}^{\infty} (sp_A + p_J kr) e^{\mu x} K(|x|) dx + [s(1-p_A) + (1-p_J)kr].$$

3. TRAVELING WAVES

This section contains our main results of the paper.

Definition 3.1. A monotone traveling wave solution with speed c connecting 0 to M, or for short a traveling wave, of equation (1.1) is defined to be a non-increasing continuous function w such that $\lim_{x\to\infty} w(x) = 0$, $\lim_{x\to-\infty} w(x) = M$, and $N_n(x) := w(x - nc)$ is a solution of equation (1.1).

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If we substitute N_n into (1.1), we will have

$$w(x - (n+1)c) = s(1 - p_A)w(x - nc) + (1 - p_J)F(w(x - nc)) + \int_{-\infty}^{\infty} K(|x - y|)sp_Aw(y - nc)dy + \int_{-\infty}^{\infty} K(|x - y|)p_JF(w(y - nc))dy, \quad n = 1, 2, \cdots$$
(3.1)

If we set $\xi := x - (n+1)c$ and z := y - nc, then the above equation becomes what is called "wave equation" associated with (1.1):

$$w(\xi) = s(1-p_A)w(\xi+c) + (1-p_J)F(w(\xi+c)) + \int_{-\infty}^{\infty} K(|\xi+c-z|)sp_Aw(z)dz$$

(3.2) $+ \int_{-\infty}^{\infty} K(|\xi+c-z|)p_JF(w(z))dz, \quad n = 1, 2, \cdots$

For each $u \in C_M$, or more generally $BM(\mathbb{R},\mathbb{R})$, and $c \in \mathbb{R}$ we set

(3.3)
$$B_{c}[u](x) = s(1 - p_{A})u(x + c) + (1 - p_{J})F(u(x + c)),$$
$$C_{c}[u](x) = \int_{-\infty}^{\infty} K(|x + c - y|)sp_{A}u(y)dy$$
$$(3.4) + \int_{-\infty}^{\infty} K(|x + c - y|)p_{J}F(u(y))dy.$$

Obviously, a monotone traveling wave solution to (1.1) is a non-increasing continuous function w with $w(-\infty) = M, w(\infty) = 0$ that is a fixed point of $Q_c := B_c + C_c$. We remark that although there are several extensions of Weinberger's theory on the existence of monotone traveling waves, to our best knowledge the existence of monotone traveling wave to (1.1) is still open. The reason is the operator Q_c does not satisfy any compactness conditions listed in [26], [10]. Volkov and Lui extended Weinberger's theory to a class of systems without compactness conditions. However, the model considered in [25] includes our model (1.1) only if $p_A = p_J$, that means that age structure does not affect migration behavior. However, it is more realistic to make the assumption that $p_J \neq p_A$. This assumption makes the problem of studying the existence of monotone traveling waves much harder. Below we will prove the existence of monotone traveling waves of (1.1) under assumption that the function F in (1.1) is of the form (1.2).

Lemma 3.2. Assume that

$$(3.5) s(1-p_A) + (1-p_J)kr < 1.$$

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Then, for each given $w \in C_M$ ($w \in BM(\mathbb{R}, [0, M])$), respectively) the equation operator $u - B_c[u] = w$ has a unique solution u in C_M (in $BM(\mathbb{R}, [0, M])$), respectively) which will be denoted by $u := G_c w$.

Proof. Consider the function

(3.6)
$$g(x) = s(1 - p_A)x + (1 - p_J)\frac{krMx}{M + (r - 1)x}, \quad x \in [0, M].$$

For all $x \in [0, M]$,

$$g'(x) = s(1-p_A) + (1-p_J) \frac{krM(M+(r-1)x) - krMx(r-1)}{(M+(r-1)x)^2}$$

= $s(1-p_A) + (1-p_J) \frac{krM^2}{(M+(r-1)x)^2}$
 $\leq s(1-p_A) + (1-p_J) \frac{krM^2}{(M+(r-1)\cdot 0)^2}$
= $s(1-p_A) + (1-p_J)kr$
< 1.

Therefore, there exists a positive 0 such that

(3.7)
$$0 < \sup_{x \in [0,M]} |g'(x)| < p.$$

Next, we solve the equation $u - B_c[u] = w$ for each given $w \in C[0, M]$. Note that in this case, B_c is a strict contraction because

$$||B_{c}[u_{1}] - B_{c}[u_{2}]|| = \sup_{x \in \mathbb{R}} |g(u_{1}(x+c)) - g(u_{2}(x+c))| \\ \leq \sup_{\xi \in \mathbb{R}} |g'(\xi)| \cdot |u_{1}(x+c) - u_{2}(x+c)| \\ = p||u_{1} - u_{2}||.$$
(3.8)

Therefore, by a standard argument we can prove the existence of $(I - B_c)^{-1}$ that is Lipschitz continuous.

Lemma 3.3. Assume that the kernel K(|x|) satisfies the above mentioned conditions. Then, for each $u \in BM(\mathbb{R}, [0, M])$ that is monotone, the function $C_c[u]$ is continuous.

Proof. The proof can be done using Lebesgue's dominated Convergence Theorem. \Box

Remark 3.4. We notice that although the operator B_c is a strict contraction in the uniform convergence topology it is not a strict contraction in the norm of compact open topology as defined in [10]. Moreover, the operator C - c is not compact in the norm of compact open topology because the kernel K may not be continuous, so condition (A3) in [10] is not satisfied with the operator $B_c + C_c$. That is, the theory of traveling waves in [26] as well as its extension in [10] does not apply to this case.

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The following is the main result of the paper:

Theorem 3.5. Let all assumptions in Lemmas 3.2 and 3.3 be satisfied. Then, if $c \ge c^*$, then there exists a monotone traveling wave to equation (1.1).

Proof. Let the function $a(c; \cdot)$ be defined as in (2.10). Set $\phi_1(s) := a(c; s)$. Note that since $a(c; \cdot)$ is non-increasing and bounded, it is a measurable and bounded function on \mathbb{R} . We define a sequence

(3.9)
$$\phi_{n+1} = Q_c[\phi_n], \ n \ge 1, \quad n = 1, 2, \cdots$$

We now show that $\{\phi_n\}$ is a non-increasing sequence in $BM(\mathbb{R}, [0, M])$. In fact, by definition of the sequence $\{a_n(c; \cdot)\}$, we have

(3.10)

$$a_{n+1}(c;s) := \max\{\varphi^{i}(s), Q[a_{n}(c;\cdot+s+c)](0)\}$$

$$= \max\{\varphi^{i}(s), Q[a_{n}(c;\cdot+c)](s)\}$$

$$\geq Q[a_{n}(c;\cdot+c)](s),$$

$$(3.11) \qquad \qquad = \quad Q_c[a_n(c;\cdot)](s)$$

Therefore,

 $(3.12) a(c;s) \ge Q_c[a(c;\cdot)](s).$

That is

$$(3.13) \qquad \qquad \phi_1 \ge \phi_2.$$

Since Q_c is order-preserving, by the definition of ϕ_{n+1} , (3.13) yields that

(3.14)
$$\phi_n \ge \phi_{n+1}, \quad n \in \mathbb{N}_0.$$

Therefore, the sequence $\{\phi_n\}$ is pointwise non-increasing and bounded below by zero (because these functions are non-negative), so it has a limit W that is a non-increasing function, so it is measurable. We will show that W is a traveling wave solution to equation (1.1). By Lemma 2.2, $W(-\infty) = M$. Next, $0 \leq W(+\infty) \leq \phi_1(+\infty) = 0$, so, $W(+\infty) = 0$. In particular, W is a fixed point of Q_c , that is

(3.15)
$$W = Q_c[W] := B_c[W] + C_c[W].$$

We only need to show that W is continuous for it to be a traveling wave as in our definition. Since (3.15) is equivalent to the following

(3.16)
$$W - B_c[W] = C_c[W],$$

by Lemma 3.2 it is equivalent to

(3.17)
$$W = [I - B_c]^{-1} C_c[W].$$

By Lemma 3.3, the function $C_c[W]$ is continuous. In turn, by Lemma 3.2, $G_c[W] = [I - B_c]^{-1}C_c[W]$ is continuous. Therefore, W is continuous, and thus it is a wave solution of equation (1.1).

Remark 3.6. As in [26, 10, 12] by using Lemma 2.2, we can easily show that if $c < c^*$ the traveling waves do not exist. With this said, the spreading speed c^* is exactly the minimal wave speed of traveling wave solution to equation (1.1).

4. DISCUSSION

In Section 3, we considered the existence of traveling waves when F is of the form (1.2). The function F can be chosen to be a more general one that satisfies the following conditions with given positive numbers r, k, M:

(H1) $F \in C^1[0, M];$

(H2) F(0) = 0, and F(M) = kM, s + k = 1;

- (H3) F(u) > ku, for $u \in (0, M)$;
- (H4) $F'(u) \ge 0$, and F'(0) = kr, where r > 1 is a given constant;
- (H5) $F(u) \leq kru$, for $u \in [0, M]$;
- (H6) F'(x) is non-increasing on [0, M].

Then, the statement of the main results as well as its proof are unchaged.

Results of the previous sections can be easily extended to the case when the habitat is multiple dimensional \mathbb{R}^d with $d = 2, 3, \ldots$. There are no big changes in the statements of the results. And the ideas of proofs remain similar.

We turn to the more biological aspects of our work. Condition (3.5) imposes an upper bound on the per capita offspring production or, more precisely, on the proportion of individuals who do not disperse. The original model by Veit and Lewis [24] allowed for different dispersal behavior of juveniles and adults. The theory presented here easily extends to the case $K_A \neq K_J$. Most importantly, Lemma 3.3 holds if the continuity conditions holds for both kernels. Formula (2.19) for the spreading speed becomes $c^* = \inf_{\mu>0} \frac{1}{\mu}\kappa(\mu)$, where

(4.1)
$$\kappa(\mu) = \int_{-\infty}^{\infty} [sp_A K_A(|x|) + p_J kr K_J(|x|)] e^{\mu x} dx + [s(1-p_A) + (1-p_J)kr].$$

More important differences between the model by Veit and Lewis and our analysis here is that they considered the function F to describe a strong Allee effect and the dispersal probabilities, p_j , p_A , to depend on population density. A strong Allee effect occurs if the per capita population growth rate is highest for intermediate population densities so that the population actually declines for small densities. While the existence of a spreading speed in the presence of an Allee effect is still guaranteed by Weinberger's theory, the existence of traveling waves for that case is a wide open question. Equally open is the question of traveling waves and even the existence of a spreading speed for models with density-dependent dispersal probability. Some preliminary results and caveats were obtained in [11]. These questions remain the subject of our future investigation.

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